

Joshua Barton

Series 65 Qualified | Prospective Investment Adviser Representative

Murrieta, CA

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Passed the Series 65 in September 2026 and seeking to affiliate with a **fee-only RIA** as an IAR. I bring board-level oversight of a public entity's investments, hands-on private deal analysis, years of advising small business owners, and deep roots in the Temecula Valley, where I've lived and worked since 2008.

INVESTMENT OVERSIGHT

- **Chair of the Board, Murrieta Valley Cemetery District** (California special district). Board member since Dec 2024, Chair since Jan 2026. Personally reviewed all of the District's investments and participated in its investment calls.
- Completed **AB 1234 ethics** and **Brown Act** training (2025).

DEAL ANALYSIS

- **Stein Investment Group** (self-storage, Atlanta, GA): completed the analysis and the group moved forward. The investment remains in good standing.
- **Monogram Holdings**: private equity financial review of a cannabis company. Recommended against investing.
- **Fat Cats Pizza** (2023): led the full financial review for a group acquisition and recommended against the purchase.
- Part of 10+ deal analyses for a high-net-worth principal in Los Angeles.

BUSINESS OWNER ADVISORY

- **The Union Marketplace** (2021–2023): ongoing financial and operational advisory for a sports cards and collectibles convention business.
- **Allison Claire** (licensed collegiate fine jewelry) and **Ronin Golf** (2018): operational and corporate advisory.
- **BSIG consulting** (2020–2024): advised Southern California small businesses on strategy, operations and KPI-driven performance. Led workshops and executive coaching.

ENTREPRENEUR & ATHLETE

- Founded **Axiom Events** (2011), an experiential marketing firm with partners including the LA Lakers, LA Dodgers, Nike, Beats by Dre and the Pechanga Band of Indians.
- Former **professional soccer player** (2003–2010). Founded BBF, a youth and adult sports-performance business.

CLIENT FOCUS

- Scaling small business owners
- Individuals with newly acquired capital
- Families planning for college (developing)

APPROACH

- Disciplined and rules-based
- No margin; staged (tranche) entries
- Long-term, low time preference
- 2+ years of dedicated digital-asset research

CREDENTIALS

- Series 65 (2026)
- AB 1234 Ethics and Brown Act (2025)
- Marketing studies: Point Loma Nazarene University; CSUN

WHAT I'M LOOKING FOR

- Fee-only fiduciary platform
- Mentorship and strong compliance
- A collaborative local team

COMMUNITY

Temecula Valley resident since 2008. Volunteer youth sports coach with CPR/First Aid, concussion and leadership certifications.